



Regular mail:
ESG Managers® Portfolios
PO Box 55389
Boston MA 02205-5389

Overnight mail:
ESG Managers® Portfolios
c/o BFDS
30 Dan Road, Suite #55389
Canton, MA 02021-2809
Telephone: 888.374.8920

Transfer of Shares

Use this form to make a gift or transfer of shares to an individual or a non-profit organization or for re-registration (name change, divorce/separation, individual to trust, etc.). If you have any questions please call 888.374.8920 between 8AM and 6PM ET M-F.

1. Reason for Transfer

☐ Re-registration (divorce/separation, individual to trust, etc.)

☐ Death (inheritance) Date of Death (required): _____

Alternate Valuation Date: _____ or Alternate Value (optional)* \$: _____

* There is a special rule under the estate tax that allows the executor (the person in charge of the estate) to elect a different valuation date in certain cases. If the estate qualifies for this election, and the executor makes the election, the valuation date is six months after the date of death. The principal reason for making this election is to reduce the amount of estate tax that must be paid. But the election also has an effect on income tax, because it means you will now use the later date to determine your basis.

☐ Gift Date of Gift : _____

Fair Market Value Acceptance : _____

Signature of new owner

If the recipient's existing account or new account will use the Average Cost accounting method, they must sign above indicating acceptance of the shares valued at fair market value of the date of gift or settlement date if the shares should be transferred at a loss.

If a reason is not provided, this transfer will be coded as a gift.

2. Current Account Information

Account Number	Fund Name		Share Class
Owner's First Name (or Trustee, Custodian, etc.)	Middle Initial	Last Name	Social Security/Tax ID No.
Joint Owner's First Name (or Trustee, Custodian, etc.)	Middle Initial	Last Name	Social Security/Tax ID No.
Mailing Address	City	State	Zip Code
()			
Contact Telephone	Email Address		

☐ Check here if contact information above is new.

3. Transfer Instructions

Transfer the amount indicated below: (check one box only)

☐ Transfer shares equal to \$: _____
(Slight fluctuation of dollar amount possible due to Net Asset Value on the day of the transfer)

☐ _____ shares

☐ _____ % of account (If total account, 100%)

Transfer to: (check one box only)

☐ Existing Account (Shares must be transferred within the same fund)

Name of Individual or Non-Profit Organization

Existing Account Number

☐ New Account

Please have new owner complete a New Account Application. The application is required for Anti-Money Laundering and Tax ID/Social Security Number Compliance.

4. Cost Basis information

The cost basis method that is currently on your account will be utilized to deplete the shares for this transaction unless you provide specific share lots or choose another cost basis method. Complete the following to select specific share lots.

Date of Purchase: _____ Number of Shares: _____

Date of Purchase: _____ Number of Shares: _____

Date of Purchase: _____ Number of Shares: _____

If you have shares transferred that were purchased prior to January 1, 2012 (non-covered shares), we may be able to provide you an average cost for these shares upon depletion. This information will be provided to you on your 1099-B (or a cost basis statement) and you may choose to use the information for your taxes. (This information will be noted as non-covered for the IRS if provided on 1099B.)

5. Account Owner Signature(s)

All account owner(s) listed above must authorize this transfer of shares by signing below and having their signature(s) guaranteed by an eligible guarantor institution. If the account is a trust, custodial, or corporate account, please sign in your capacity. If a corporate account, also include a copy of your corporate resolution.

Current Account Owner

Date

Current Account Owner

Date

Medallion Signature Guarantee: An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a Medallion Program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchange Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee

Medallion Signature Guarantee

6. Disposition of Shares - Recipient Complete

The account owner(s) listed above

☐ Hold shares in account

☐ Redeem: \$ _____ or _____ Shares

☐ Redeem All Shares

Recipient Account Owner

Date

Recipient Account Owner

Date