



Regular mail:  
ESG Managers® Portfolios  
PO Box 55389  
Boston MA 02205-5389

Overnight mail:  
ESG Managers® Portfolios  
c/o BFDS  
30 Dan Road, Suite #55389  
Canton, MA 02021-2809  
Telephone: 888.374.8920

## Recharacterization Form (Internal)

Use this form to recharacterize a conversion or contribution between an ESG Managers® Roth IRA and ESG Managers® Traditional IRA. New accounts require an appropriate account application. If you have any questions, please call 888.374.8920 between 8AM and 6PM ET M-F.

### 1. Personal Information

Name (please print name exactly as it appears on your current IRA account)		Social Security/Tax ID No.	
Mailing Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)		Contact Telephone	

☐ Check here if contact information above is new.

### 2. Instructions to the Current Custodian or Trustee:

If you do not have an existing Roth or Traditional IRA you must complete the appropriate application. Funds will be recharacterized into a new account in the same fund, not the previously existing account. Earning associated with the recharacterization are calculated according to Internal Revenue Service (IRS) regulations, not actual earnings since the time of deposit. All transactions are reportable to the IRS.

☐ Recharacterize the indicated conversion contribution plus allocable earnings from my Roth IRA to a Traditional IRA

Date of Conversion: \_\_\_\_\_ Dollar Amount Converted: \$ \_\_\_\_\_  
Deposit into Fund: \_\_\_\_\_ Current Account No: \_\_\_\_\_

☐ Recharacterize the indicated contribution plus allocable earnings from (Check the appropriate box)

☐ Roth IRA to a Traditional IRA ☐ Traditional IRA to a Roth IRA  
Date of Contribution: \_\_\_\_\_ Dollar Amount Contributed: \$ \_\_\_\_\_  
Deposit into Fund: \_\_\_\_\_ Current Account No : \_\_\_\_\_

### 3. Certification and Signature

I authorize and request that ESG Managers® Portfolios and State Street Bank & Trust Company, the IRA custodian, make the above requested recharacterization from the named ESG Managers® IRA and purchase the recharacterization contribution to a new IRA in the same fund.

I certify that I am the depositor authorized to make these elections and that all information provided is true and accurate. I further certify that no tax or legal advice has been given to me by the Custodian, ESG Managers® Portfolios, or by any agent of either of them, and that all decisions regarding the elections made on this form are my own. The Custodian is hereby authorized and directed to distribute funds from my own account in the manner requested. The Custodian may conclusively rely on this certification and authorization without further investigation or inquiry. I expressly assume responsibility for any adverse consequences which may arise from the election(s) and agree that the Custodian, ESG Managers® Portfolios, and their agents shall in no way be responsible, and shall be indemnified and held harmless, for any tax, legal or other consequences of the election(s) made on this form.

**I have read and understand and agree to be legally bound by the terms of this form.**

Signature of Depositor	Date
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