



**ESG MANAGERS® PORTFOLIOS**  
Pax World Mutual Funds

Regular mail:  
ESG Managers® Portfolios  
PO Box 55389  
Boston MA 02205-5389

Overnight mail:  
ESG Managers® Portfolios  
c/o BFDS  
30 Dan Road, Suite #55389  
Canton, MA 02021-2809  
Telephone: 888.374.8920

# Coverdell Education Savings Account Transfer of Assets

For help with filling out this form please call 888.374.8920 between 8am and 6pm ET M-F.

## 1. Personal Information Responsible Individual

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Mailing Address		City	State Zip Code
Birthdate (mm/dd/yyyy)		Contact Telephone	

☐ Check here if contact information above is new.

## Designated Beneficiary of Coverdell ESA

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
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## 2. Please tell us where to invest. Complete items A and B

A. ☐ I am opening a Coverdell Education Savings Account (ESA) and have attached the required application.

☐ Deposit the proceeds into my existing Coverdell ESA #\_\_\_\_\_.

B. Please purchase into the following fund(s):

ESG Managers® Growth Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (3330) <input type="checkbox"/> Class C (3350)
ESG Managers® Growth and Income Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (3331) <input type="checkbox"/> Class C (3351)
ESG Managers® Balanced Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (3332) <input type="checkbox"/> Class C (3352)
ESG Managers® Income Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (3333) <input type="checkbox"/> Class C (3353)

## 3. Please tell us about your current account and authorize the transfer from your current custodian.

- To avoid delays check with your current custodian for their correct address and if they require a Medallion Signature Guarantee.
- If possible attach a copy of a current statement.

## Only Coverdell Education Savings Assets should be Transferred

Name of Current Custodian or Agent			
Mailing Address of Current Custodian or Agent	City	State	Zip Code
( )			
Contact Telephone of Custodian or Agent			

Please transfer the following investments to State Street Bank & Trust Company as custodian for the ESG Managers® Coverdell ESA.

1. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 1: \_\_\_\_\_ ☐ Entire Account ☐ Partial \$: \_\_\_\_\_

For Certificates of Deposit ☐ Immediately ☐ At Maturity (Date: \_\_\_\_\_)

2. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 2: \_\_\_\_\_ ☐ Entire Account ☐ Partial \$: \_\_\_\_\_

For Certificates of Deposit ☐ Immediately ☐ At Maturity (Date: \_\_\_\_\_)

I authorize the current custodian or agent to process the liquidation and transfer of assets as noted to ESG Managers® Portfolios. I understand as the Responsible Individual it is my responsibility to assure the prompt transfer of assets by the current custodian or agent. I have read and understand all information in the instructions.

\_\_\_\_\_  
Signature of Responsible Individual

\_\_\_\_\_  
Date

**Medallion Signature Guarantee (if required by your current custodian):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

**Medallion Signature Guarantee**

**Instructions to Responsible Individual (Please Read Carefully).**

This form will be used by ESG Managers® Portfolios to initiate a transfer of assets from an existing Coverdell ESA as designated on this form to a Coverdell ESA at ESG Managers® Portfolios. For certificates of deposit, please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and, if applicable, the appropriate new account application for the Coverdell Education Savings Account to:

**First Class Mail:**

ESG Managers® Portfolios  
PO Box 55389  
Boston MA 02205-5389

**Overnight Courier:**

ESG Managers® Portfolios  
c/o BFDS  
30 Dan Road Suite #55389  
Canton MA 02021-2809  
Phone: 888.374.8920

Insufficient information or incorrect forms will result in delays in processing your instructions.