ESG MANAGERS® PORTFOLIOS
Pax World Mutual Funds

Regular mail:
ESG Managers® Portfolios
PO Box 55389
Boston MA 02205-5389

Overnight mail:
ESG Managers® Portfolios
c/o BFDS
30 Dan Road, Suite #55389
Canton, MA 02021-2809
Telephone: 888.374.8920

SIMPLE IRA Transfer of Assets
For help with filling out this form please call 888.374.8920 between 8AM and 6PM ET M-F.

1. Personal Information

First Name | Middle Initial | Last Name | Social Security/Tax ID No.

Mailing Address | City | State | Zip Code

Birthdate (mm/dd/yyyy) | ( ) Contact Telephone

☐ Check here if contact information above is new.

2. Please tell us where to invest. Complete items A and B

A. ☐ I am opening a new account(s) and have attached the required application or documents.

☐ Deposit the proceeds into my existing SIMPLE IRA account # _____

B. Please purchase into the following fund(s):

ESG Managers® Growth Portfolio $ _____ or _____ %
☐ Class A (3330)
☐ Class C (3350)

ESG Managers® Growth and Income Portfolio $ _____ or _____ %
☐ Class A (3331)
☐ Class C (3351)

ESG Managers® Balanced Portfolio $ _____ or _____ %
☐ Class A (3332)
☐ Class C (3352)

ESG Managers® Income Portfolio $ _____ or _____ %
☐ Class A (3333)
☐ Class C (3353)

3. Please tell us about your current plan and authorize the transfer from your current custodian.
• To avoid delays, check with your current custodian for their correct address and if they require a Medallion Signature Guarantee.
• If possible attach a copy of a current statement.

Only SIMPLE IRA Assets should be Transferred.

Name of Current Custodian or Agent

Mailing Address of Current Custodian or Agent | City | State | Zip Code

( )
Contact Telephone of Custodian

1. Fund Name or Type of Investment to be transferred: _____

Account Number for Investment 1: _____ ☐ Entire Account ☐ Partial $: _____

For Certificates of Deposit ☐ Immediately ☐ At Maturity (Date: _____)

2. Fund Name or Type of Investment to be transferred: _____

Account Number for Investment 2: _____ ☐ Entire Account ☐ Partial $: _____

For Certificates of Deposit ☐ Immediately ☐ At Maturity (Date: _____)

I authorize the liquidation and transfer of assets to my ESG Managers® SIMPLE IRA and authorize ESG Managers® Portfolios and State Street Bank & Trust Company to process this request on my behalf. I understand it is my responsibility to assure the prompt transfer of assets by the current custodian. I have read and understand all information in the instructions.

_____ | _____
Signature of Depositor   Date

Medallion Signature Guarantee (if required by your current custodian): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

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│            Medallion Signature Guarantee       │
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Instructions to Shareholders (Please Read Carefully).

This form will be used by State Street Bank & Trust Company to initiate a Transfer of Assets on your behalf from an existing SIMPLE IRA as designated on this form to your SIMPLE IRA at ESG Managers® Portfolios. If you are over 70½ please advise us if you wish to take required distributions from this account and what your distribution election is; otherwise no action will be taken on our part. Please remember this Transfer of Assets can only occur between SIMPLE IRA accounts. For certificate of deposits please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your SIMPLE IRA, if required, to:

First Class Mail:
ESG Managers® Portfolios
PO Box 55389
Boston MA 02205-5389

Overnight Courier:
ESG Managers® Portfolios
c/o BFDS
30 Dan Road Suite #55389
Canton MA 02021-2809
Phone: 888.374.8920

Insufficient information or incorrect forms will result in delays in processing your instructions.