



Regular mail: ESG Managers® Portfolios PO Box 9824 Providence, RI 02940-8024	Overnight mail: ESG Managers® Portfolios 101 Sabin Street Pawtucket, RI 02860-1427 Telephone: 888.374.8920
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Recharacterization Form (External)

Use this form to recharacterize a conversion or contribution between a Roth and Traditional IRA between two different financial institutions. If you have any questions, please call Shareholder Services at 888.374.8920 between 8AM and 6PM ET M-F.

1. Personal Information

Name (please print name exactly as it appears on your current IRA account)		Social Security/Tax ID No.	
Street Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Daytime Telephone	Evening Telephone	

2. Instructions to the Current Custodian or Trustee:

Recharacterize the indicated conversion contribution plus allocable earnings from my Roth IRA to a Traditional IRA

Date of Conversion: _____ Dollar Amount Converted: \$ _____
 Deposit into Fund: _____ Current Account No: _____

Recharacterize the indicated annual contribution plus allocable earnings from (Check the appropriate box)

Roth IRA to a Traditional IRA Traditional IRA to a Roth IRA

Date of Contribution: _____ Dollar Amount Contributed: \$ _____
 Deposit into Fund: _____ Current Account No : _____

3. Current Custodian Information

Name of current Custodian or Agent			
Address of current Custodian or Agent	City	State	Zip Code
Daytime Telephone of Custodian			

Please recharacterize the following investments to PFPC Trust Company as custodian for my ESG Managers® Roth or Traditional IRA.

1. _____
 Fund Name or Type of Investment to be recharacterized.

Account Number for Investment 1 Roth IRA Traditional IRA

For Certificate of Deposits Immediately At Maturity _____
 Date

2. _____
 Fund Name or Type of Investment to be recharacterized.

Account Number for Investment 2 Roth IRA Traditional IRA

For Certificate of Deposits Immediately At Maturity _____
 Date

I authorize the above custodian of my Roth and/or Traditional IRA to liquidate the account(s) indicated for the purpose of recharacterizing them as instructed above to the indicated IRA with the ESG Managers® Portfolios. I authorize my current custodian to issue a check payable to ESG Managers® Portfolios for the benefit of my ESG Managers® Roth or Traditional IRA. I understand it is my responsibility to assure the prompt recharacterization of assets by the current custodian. I agree that I am solely responsible for all tax consequences of this recharacterization. I authorize ESG Managers® Portfolios and PFPC Trust Company to process this request on my behalf.

_____|_____
 Signature of IRA Participant | Date

Medallion Signature Guarantee (if required): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee

Instructions to Resigning Custodian/Transfer Agent.

Please liquidate the Participant's account as specified in Section 3. Issue a check payable as indicated below and mail along with any other instructions to the applicable address below.

Issue check payable to: PFPC Trust Company as Custodian for the ESG Managers® IRA

FBO _____ Account #: _____

First Class Mail:
 ESG Managers® Portfolios
 PO Box 9824
 Providence, RI 02940-8024

Overnight Courier:
 ESG Managers® Portfolios
 101 Sabin St.
 Pawtucket, RI 02860-1427
 Phone: 888.374.8920

Acceptance by PFPC Trust Company as Custodian.

PFPC Trust Company as custodian for ESG Managers® Portfolios has established an IRA as indicated for the above named shareholder and will accept the assets as a Recharacterization Contribution into the IRA. If the above referenced account(s) is not an appropriate IRA for recharacterization, please do not process this request but return it to the shareholder.

Accepted by PFPC Trust Company as Custodian for ESG Managers® Portfolios.

_____|_____
 Authorized Representative of PFPC Trust Company | Date