



Regular mail:
ESG Managers® Portfolios
PO Box 9824
Providence, RI 02940-8024

Overnight mail:
ESG Managers® Portfolios
101 Sabin Street
Pawtucket, RI 02860-1427
Telephone: 888.374.8920

Recharacterization Form (Internal)

Use this form to recharacterize a **conversion or contribution** between an ESG Managers® Roth IRA and ESG Managers® Traditional IRA. New accounts require an appropriate account application. If you have any questions, please call Shareholder Services at 888.374.8920 between 8AM and 6PM ET M-F.

1. Personal Information

Name (please print name exactly as it appears on your current IRA account)		Social Security/Tax ID No.	
Street Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Daytime Telephone	Evening Telephone	

2. Instructions to the Current Custodian or Trustee:

If you do not have an existing Roth or Traditional IRA you must complete the appropriate application. Funds will be recharacterized into a new account in the same fund, not the previously existing account. Earning associated with the recharacterization are calculated according to Internal Revenue Service (IRS) regulations, not actual earnings since the time of deposit. All transactions are reportable to the IRS.

Recharacterize the indicated conversion contribution plus allocable earnings from my Roth IRA to a Traditional IRA

Date of Conversion: _____ Dollar Amount Converted: \$ _____

Deposit into Fund: _____ Current Account No: _____

Recharacterize the indicated contribution plus allocable earnings from (Check the appropriate box)

Roth IRA to a Traditional IRA

Traditional IRA to a Roth IRA

Date of Contribution: _____ Dollar Amount Contributed: \$ _____

Deposit into Fund: _____ Current Account No : _____

3. Certification and Signature

I authorize and request that ESG Managers® Portfolios and PFPC Trust Company, the IRA custodian, make the above requested recharacterization from the named ESG Managers® IRA and purchase the recharacterization contribution to a new IRA in the same fund.

I certify that I am the participant authorized to make these elections and that all information provided is true and accurate. I further certify that no tax or legal advice has been given to me by the Custodian, ESG Managers® Portfolios, or by any agent of either of them, and that all decisions regarding the elections made on this form are my own. The Custodian is hereby authorized and directed to distribute funds from my own account in the manner requested. The Custodian may conclusively rely on this certification and authorization without further investigation or inquiry. I expressly assume responsibility for any adverse consequences which may arise from the election(s) and agree that the Custodian, ESG Managers® Portfolios, and their agents shall in no way be responsible, and shall be indemnified and held harmless, for any tax, legal or other consequences of the election(s) made on this form.

I have read and understand and agree to be legally bound by the terms of this form.

Signature of Participant	Date
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