



Regular mail:  
ESG Managers® Portfolios  
PO Box 9824  
Providence, RI 02940-8024

Overnight mail:  
ESG Managers® Portfolios  
101 Sabin Street  
Pawtucket, RI 02860-1427  
Telephone: 888.374.8920

## SIMPLE IRA Transfer of Assets

For help with filling out this form please call Shareholder Services at 888.374.8920 between 8AM and 6PM ET M-F.

### 1. Personal Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Street Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Daytime Telephone	Evening Telephone	

### 2. Please tell us where to invest. Complete items A and B

A.  I am opening a new account(s) and have attached the required application or documents.

Deposit the proceeds into my existing SIMPLE IRA account # \_\_\_\_\_

B. Please purchase into the following fund(s):

ESG Managers® Aggressive Growth Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (330) <input type="checkbox"/> Class C (350)
ESG Managers® Growth Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (331) <input type="checkbox"/> Class C (351)
ESG Managers® Moderate Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (332) <input type="checkbox"/> Class C (352)
ESG Managers® Conservative Portfolio	\$ _____ or _____ %	<input type="checkbox"/> Class A (333) <input type="checkbox"/> Class C (353)

### 3. Please tell us about your current plan and authorize the transfer from your current custodian.

- To avoid delays, check with your current custodian for their correct address and if they require a Medallion Signature Guarantee.
- If possible attach a copy of a current statement.

#### Only SIMPLE IRA Assets should be Transferred.

Name of Current Custodian or Agent	City	State	Zip Code
Address of Current Custodian or Agent	City	State	Zip Code
( )			
Daytime Telephone of Custodian			

1. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 1: \_\_\_\_\_  Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit  Immediately  At Maturity (Date: \_\_\_\_\_)

2. Fund Name or Type of Investment to be transferred: \_\_\_\_\_

Account Number for Investment 2: \_\_\_\_\_  Entire Account  Partial \$: \_\_\_\_\_

For Certificates of Deposit  Immediately  At Maturity (Date: \_\_\_\_\_)

I authorize the transfer of assets to my ESG Managers® SIMPLE IRA and authorize ESG Managers® Portfolios and PFPC Trust Company to process this request on my behalf. I understand it is my responsibility to assure the prompt transfer of assets by the current custodian. I have read and understand all information in the instructions.

Signature of Participant

Date

**Medallion Signature Guarantee (if required by your current custodian):** An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

<b>Medallion Signature Guarantee</b>
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**Instructions to Shareholders (Please Read Carefully).**

This form will be used by PFPC Trust Company to initiate a Transfer of Assets on your behalf from an existing SIMPLE IRA as designated on this form to your SIMPLE IRA at ESG Managers® Portfolios. If you are over 70½ please advise us if you wish to take required distributions from this account and what your distribution election is; otherwise no action will be taken on our part. Please remember this Transfer of Assets can only occur between SIMPLE IRA accounts. For certificate of deposits please indicate if you wish to have the funds transferred immediately, which may incur a redemption penalty if they have not matured, or at maturity. We cannot accept requests to transfer assets from certificates more than 60 days prior to their maturity. When completed, please return the signed form, a copy of your current account statement, and the appropriate new account application for your SIMPLE IRA, if required, to:

**First Class Mail:**  
ESG Managers® Portfolios  
PO Box 9824  
Providence, RI 02940-8024

**Overnight Courier:**  
ESG Managers® Portfolios  
101 Sabin St.  
Pawtucket, RI 02860-1427  
Phone: 888.374.8920

Insufficient information or incorrect forms will result in delays in processing your instructions.